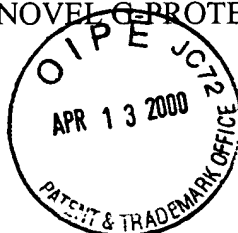


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Glucksmann *et al.* Group Art Unit: 1643
Appl No.: 09/464,685
Filed: December 16, 1999
For: 2871 RECEPTOR, A NOVEL G PROTEIN COUPLED RECEPTOR

2

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231



April 10, 2000

RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application dated February 8, 2000, enclosed are the following:

- ☒ Part 2 of Form PTO-1533 (Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- ☐ Executed Statement Claiming Small Entity Status
- ☒ Check in the amount of \$998.00 to cover the filing fee of \$868.00 and the \$130.00 surcharge under 37 C.F.R. § 1.16(e)
- ☒ Other: Preliminary Amendment with Cover Sheet.

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

Leslie T. Henry

Leslie T. Henry
Registration No. 45,714

ALSTON & BIRD LLP
Post Office Drawer 34009
Charlotte, NC 28234-4009
Tel Raleigh Office (919) 420-2200
Fax Raleigh Office (919) 420-2260

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Box Missing Parts**, Assistant Commissioner For Patents, Washington, DC 20231, on April 10, 2000.

Nora C. Martinez
Nora C. Martinez



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/464,685	12/16/99	GLUCKSMANN	S900-2B

000826
ALSTON & BIRD LLP
P O DRAWER 34009
CHARLOTTE NC 28234-4009

0242/0208

1643

DATE MAILED:

02/08/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 2,1738.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 1710.00 for 95 total claims over 20.
\$ 78.00 for 1 independent claims over 3.
\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

W. Bell

Customer Service Center
Initial Patent Examination Division (703) 308-1202

04/17/2000 KZENDIE 00000036 09464685

01 FC:101
02 FC:105
03 FC:103

760.00 OP
130.00 OP
108.00 OP

Attorney's Docket No. 5800-2B



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re: Glucksmann *et al.*

Appl. No.: 09/464,685

Group Art Unit: 1643

Filed: December 16, 1999

Examiner: Not Assigned

For: 2871 Receptor, A Novel G-Protein Coupled Receptor

April 10, 2000

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is a PRELIMINARY AMENDMENT in the above-identified patent application.

- ☐ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a statement previously submitted.
- ☐ A statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

(COL. 1)		(COL. 2)	(COL. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
BASIC FEE				\$0		\$760	
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	OR RATE	ADDIT. FEE
TOTAL	* 26	** 20	= 6	X9=	\$	X18=	\$108
INDEP	* 2	*** 3	= 0	X39=	\$	X78=	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+130=	\$	+260=	\$ 0
				TOTAL ADD FEE \$		OR TOTAL	\$868

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior Amendment or the number of claims originally filed.

In re: Glucksmann *et al.*
Appl. No.: 09/464/685
Filed: December 16, 1999
Atty. Dock. No.: 5800-2B
Page 2



- ☐ Please charge my Deposit Account No. 16-0605 in the amount of \$.
- ☒ A check in the amount \$998 (\$868 plus \$130 Missing Parts Surcharge) to cover the additional fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency in payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0605.
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

A handwritten signature in cursive script that reads "Leslie T. Henry".

Leslie T. Henry
Registration No. 45,714
Agent of Record

ALSTON & BIRD LLP
Post Office Drawer 34009
Charlotte, NC 28234-4009
Tel Raleigh Office (919) 420-2200
Fax Raleigh Office (919) 420-2260

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, DC 20231, on April 10, 2000.

A handwritten signature in cursive script that reads "Nora C. Martinez".

Nora C. Martinez